



IFW

Practitioner's Docket Number: 99-0017.14

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of: Fred B. Simpson

§
§
§
§
§
§
§

Group Art Unit: 1775
Examiner:

Serial No.: 10/799,969
Filed: March 12, 2004
For: **Ornament Base**

INFORMATION DISCLOSURE STATEMENT
PURSUANT TO 37 C.F.R. § 1.97 and § 1.98

Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. 1.8(a))

I hereby certify that, on the date shown below, this correspondence is being:

MAILING

☒ deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner for Patents, PO BOX 1450 Alexandria, VA 22313-1450.

Date: 7/26/04

FACSIMILE

☐ transmitted by facsimile to the Patent and Trademark Office.

Charles R. Ducker
Signature

Charles R. Ducker, Jr.
(type or print name of person certifying)

Sir:

This information disclosure statement is being filed before the mailing date of a first Office action on the merits (37 C.F.R. § 1.97(b)).

Listed on the accompanying form PTO/SB/08A are a number of documents which may be considered material to the examination of this application.

The filing of this information disclosure statement should not be construed to be an admission that the information cited in this statement is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b). Moreover, Applicant(s) reserves the right to establish the patentability of the claimed invention over any of the listed documents should they be applied as references, or to prove that some of these documents may not be enabling for the teachings they purport to offer.

This statement should not be construed as a representation that a search has been made, or that there does not exist information more material to the examination of the present application. The Examiner is specifically requested not to rely solely on the materials submitted herewith. Instead the Examiner is requested to conduct an independent and thorough review of the documents, and to form independent opinions as to their significance.

It is respectfully requested that the Examiner initial and return a copy of enclosed PTO/SB/08A and to similarly indicate in the official file wrapper of this patent application that the documents have been considered.

Respectfully submitted,

A handwritten signature in black ink, reading "Charles R. Ducker, Jr." with a stylized flourish at the end.

Charles R. Ducker, Jr.
Registration No. 46,542
July 26, 2004

LANIER FORD SHAVER & PAYNE P.C.
Customer No. 021491
200 West Side Square, Suite 5000
P.O. Box 2087
Huntsville, AL 35804
(256) 535-1100

Practitioner's Docket Number: 99-0017.14

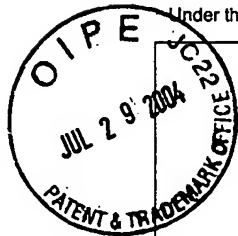
Please type a plus sign (+) inside this box → ☐

PTO/SB/21 (04-03)

Approved for use through 04/30/2003. OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.



TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

		Application Number	10/799,969
		Filing Date	March 12, 2004
		First Named Inventor	Fred B. Simpson
		Group Art Unit	1775
		Examiner Name	
Total Number of Pages in this Submission	4	Attorney Docket Number	99-0017.14

ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Documents <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Return Receipt Post Card
REMARKS 		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual Name	Charles R Ducker, Jr. Registration No. 46, 542
Signature	<i>Charles R Ducker Jr</i>
Date	7/26/04

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, PO BOX 1450 Alexandria, VA 22313-1450 on this date:	
Typed or printed name	Charles R Ducker, Jr.
Signature	<i>Charles R Ducker Jr</i>
Date:	7/26/04

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, PO BOX 1450, Alexandria, VA 22313-1450.

<div style="text-align: center;"> INFORMATION DISCLOSURE STATEMENT BY APPLICANT </div> <div style="text-align: center; margin-top: 10px;"> <i>(use as many sheets as necessary)</i> </div>				Complete if Known	
				Application Number	10/799,969
				Filing Date	March 12, 2004
				First Named Inventor	Fred B. Simpson
				Group Art Unit	1775
				Examiner Name	
Sheet	1	of	1	Attorney Docket Number	99-0017.14

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number – Kind Code ² (if known)			
	1	US- 5,848,493	12/1998	Gasper	
	2	US- 3,965,605	06/29/1976	Allen	
	3	US- 4,047,109	09/06/1977	Sekiguchi	
	4	US- 4,538,751	09/03/1985	Peterson	
	5	US- 4,361,934	12/07/1982	Darnell	
	6	US- 4,939,004	07/03/1990	Fuss	
	7	US- 5,065,622	11/19/1991	Veenstra	
	8	US- 5,071,098	12/10/1991	Aldridge	
	9	US- 5,527,006	06/18/1996	Stith	
	10	US- 5,615,454	04/01/1997	Contarino	
	11	US- 5,722,125	03/03/1998	Vasilopoulos	
	12	US- 5,806,459	09/15/1998	Haves	
	13	US- D256,963	09/23/1980	Harrington	
	14	US- D303,333	09/12/1989	Fayerman et al.	
	15	US- D372,990	08/20/1996	Sweeney	
	16	US- D382,906	08/26/1997	Bach et al.	
	17	US- D396,309	07/21/1998	Churchville et al.	
	18	US- D450,620	11/20/2001	Simpson	
	19	US-			
	20	US-			

[illegible]

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's Unique citation designation number (optional). ² See Kinds Codes of U.S. Patent Documents at www.uspto.gov or MPEP 901.4.

³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Alexandria, VA 2213-1450.**